

APPLICANT FACSIMILE OF FORM PTO-1449
 REV 7-80

 U.S. DEPARTMENT OF
 COMMERCE
 PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO

SERIAL NO.

UMY-052DV1

10/645746

 LIST OF PUBLICATIONS CITED BY APPLICANT
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APPLICANT

Mello, Craig C. et al.

FILING DATE

August 20, 2003

GROUP

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----|-----------------|-------|-------------------|-------|----------|-------------------------------|
| MM | A1 | 4,469,863 | 09/84 | Ts'o et al. | | | |
| | A2 | 4,511,713 | 04/85 | Miller et al. | | | |
| | A3 | 5,034,323 | 07/91 | Jorgensen et al. | | | |
| | A4 | 5,107,065 | 04/92 | Shewmaker | | | |
| | A5 | 5,190,931 | 03/93 | Inouye | | | |
| | A6 | 5,208,149 | 05/93 | Inouye | | | |
| | A7 | 5,258,369 | 11/93 | Carter | | | |
| | A8 | 5,272,065 | 12/93 | Inouye | | | |
| | A9 | 5,365,015 | 11/94 | Grierson et al. | | | |
| | A10 | 5,453,566 | 09/95 | Shewmaker | | | |
| | A11 | 5,738,985 | 04/98 | Miles | | | |
| | A12 | 5,795,715 | 08/98 | Livache | | | |
| | A13 | 5,874,555 | 02/99 | Dervan | | | |
| | A14 | 5,976,567 | 11/99 | Wheeler et al. | | | |
| | A15 | 6,010,908 | 01/00 | Gruenert et al. | | | |
| | A16 | 6,136,601 | 10/00 | Meyer, Jr. et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----|-----|-----------------|-------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| MM | A17 | WO 98/54315 | 12/98 | WO | | | | |
| | A18 | WO 98/04717 | 02/98 | WO | | | | |
| | A19 | WO 99/32619 | 07/99 | WO | | | | |
| | A20 | WO 99/53050 | 10/99 | WO | | | | |
| | A21 | WO 99/61631 | 12/99 | WO | | | | |
| | A22 | WO 00/01846 | 01/00 | WO | | | | |
| MM | A23 | WO 00/63364 | 10/00 | WO | | | | |
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| MM | A24 | Baker et al. RNAi of the receptor tyrosine phosphatase HmLAR2 in a single cell of an intact leech embryo leads to growth-cone collapse. Curr Biol. 2000 Sep 7;10(17):1071-4 |
| MM | A25 | Bass. Double-stranded RNA as a template for gene silencing. Cell. 2000 Apr 28;101(3):235-8 |
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| LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary) | | APPLICANT Mello, Craig C. et al. | |
| | | FILING DATE August 20, 2003 | GROUP 1697 1653 |

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| MM | B2 | Baulcombe <i>et al.</i> Molecular biology. Unwinding RNA silencing. Science. 2000 Nov 10;290(5494):1108-9 |
| MM | B3 | Baulcombe. Gene silencing: RNA makes RNA makes no protein. Curr Biol. 1999 Aug 26;9(16):R599-601 |
| MM | B4 | Baum <i>et al.</i> Inhibition of protein synthesis in reticulocyte lysates by a double-stranded RNA component in HeLa mRNA. Biochem Biophys Res Commun. 1983 Jul 18;114(1):41-9 |
| MM | B5 | Bhat <i>et al.</i> Discs Lost, a novel multi-PDZ domain protein, establishes and maintains epithelial polarity. Cell. 1999 Mar 19;96(6):833-45 |
| MM | B6 | Billy <i>et al.</i> Specific interference with gene expression induced by long, double-stranded RNA in mouse embryonal teratocarcinoma cell lines. Proc Natl Acad Sci U S A 2001 Dec 4;98(25):14428-33 |
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| — | B8 | Becher <i>et al.</i> RNA interference can target pro-mRNA: consequences for gene expression in a Caenorhabditis elegans operon. Genetics. 1999 Nov;153(3):1243-56 |
| MM | B9 | C. elegans Sequencing Consortium, The. Genome Sequence of the Nematode C. elegans: A Platform for Investigating Biology Science. 11 Dec. 1998 282:2012-2018 |
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| MM | B12 | Catalanotto <i>et al.</i> Gene silencing in worms and fungi. Nature 2000 Mar 16;404(6775):245 |
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| MM | B16 | Doi <i>et al.</i> Short-Interfering-RNA-Mediated Gene Silencing in Mammalian Cells Requires Dicer and eIF2C Translation Initiation Factors. Current Biology 8 January, 2003 13:41-46 |
| MM | B17 | Dolnick. Naturally occurring antisense RNA. Pharmacol Ther. 1997 Sep;75(3):179-84 |
| MM | B18 | Domeier <i>et al.</i> A link between RNA interference and nonsense-mediated decay in Caenorhabditis elegans. Science. 2000 Sep 15;289(5486):1928-31 |
| MM | B19 | Driver <i>et al.</i> Oligonucleotide-based inhibition of embryonic gene expression. Nat Biotechnol. 1999 Dec;17(12):1184-7 |
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| MM | C5 | Fire <i>et al.</i> Potent and specific genetic interference by double-stranded RNA in <i>Caenorhabditis elegans</i> . Nature. 1998 Feb 19;391(6669):806-11 |
| MM | C6 | Fire <i>et al.</i> On the Generality of RNA-Mediated Interference. Worm Breeder's Gazette. 1998;15(3):8 |
| MM | C7 | Fortier <i>et al.</i> Temperature-dependent gene silencing by an expressed inverted repeat in <i>Drosophila</i> . Genesis. 2000 Apr;26(4):240-4 |
| — | C8 | GENBANK Accession No. Q22817 for Caenorhabditis elegans November 1, 1996 |
| MM | C9 | Grieson <i>et al.</i> Trends in Biotechnology 1991;9:122-3 |
| MM | C10 | Grishok <i>et al.</i> Genetic requirements for inheritance of RNAi in <i>C. elegans</i> . Science. 2000 Mar 31;287(5462):2494-7 |
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| MM | C12 | Hammond <i>et al.</i> An RNA-directed nuclease mediates post-transcriptional gene silencing in <i>Drosophila</i> cells. Nature. 2000 Mar 16;404(6775):293-6 |
| MM | C13 | Harbinder <i>et al.</i> Genetically targeted cell disruption in <i>Caenorhabditis elegans</i> . Proc Natl Acad Sci U S A. 1997 Nov 25;94(24):13128-33 |
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| MM | C15 | Harfe <i>et al.</i> Analysis of a <i>Caenorhabditis elegans</i> Twist homolog identifies conserved and divergent aspects of mesodermal patterning. Genes Dev. 1998 Aug 15;12(16):2623-35 |
| MM | C16 | Heaphy, S. <i>et al.</i> Viruses, double-stranded RNA and RNA interference. Recent Res. Devel. Virol. 2001;3:91-104 |
| MM | C17 | Hill <i>et al.</i> dpy-18 encodes an alpha-subunit of prolyl-4-hydroxylase in <i>caenorhabditis elegans</i> . Genetics. 2000 Jul;155(3):1139-48 |
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| MM | D2 | Hunter. Genetics: a touch of elegance with RNAi. Curr Biol. 1999 Jun 17;9(12):R440-2 |
| MM | D3 | Hunter. Gene Silencing: Shrinking the Black Box of RNAi. Current Biology, 2000, 10:R137-R140 |
| MM | D4 | Izant. Inhibition of Thymidine Kinase Gene Expression by Anti-Sense RNA: A Molecular Approach to Genetic Analysis. Cell. Apr. 1984, 36:1007-1015 |
| MM | D5 | Jacobs <i>et al.</i> When two strands are better than one: the mediators and modulators of the cellular responses to double-stranded RNA. Virology. 1996 May 15;219(2):339-49 |
| MM | D6 | Jorgensen <i>et al.</i> An RNA-based information superhighway in plants. Science. 1998 Mar 6;279(5356):1486-7 |
| MM | D7 | Jorgensen <i>et al.</i> Do unintended antisense transcripts contribute to sense cosuppression in plants? Trends Genet. 1999 Jan;15(1):11-2 |
| MM | D8 | Judware <i>et al.</i> Inhibition of the dsRNA-Dependent Protein Kinase by a Peptide Derived from the Human Immunodeficiency Virus Type 1 Tat Protein. Journal of Interferon Research 1993 13:153-160 |
| MM | D9 | Kelly <i>et al.</i> Chromatin silencing and the maintenance of a functional germline in <i>Caenorhabditis elegans</i> . Development. 1998 Jul;125(13):2451-6 |
| MM | D10 | Kennerdell <i>et al.</i> Heritable gene silencing in <i>Drosophila</i> using double-stranded RNA. Nat Biotechnol. 2000 Aug;18(8):896-8 |
| MM | D11 | Kennerdell <i>et al.</i> Use of dsRNA-mediated genetic interference to demonstrate that frizzled and frizzled 2 act in the wingless pathway. Cell. 1998 Dec 23;95(7):1017-26 |
| MM | D12 | Ketting <i>et al.</i> Mut-7 of <i>C. elegans</i> , required for transposon silencing and RNA interference, is a homolog of Werner syndrome helicase and RNaseD. Cell. 1999 Oct 15;99(2):133-41 |
| MM | D13 | Ketting <i>et al.</i> A genetic link between co-suppression and RNA interference in <i>C. elegans</i> . Nature. 2000 Mar 16;404(6775):296-8 |
| MM | D14 | Kim <i>et al.</i> Positioning of longitudinal nerves in <i>C. elegans</i> by nidogen. Science. 2000 Apr 7;288(5463):150-4 |
| MM | D15 | Klauff <i>et al.</i> RNA structure and the regulation of gene expression. Plant Mol Biol. 1996 Oct;32(1-2):89-106 |
| MM | D16 | Kostich <i>et al.</i> Identification and molecular-genetic characterization of a LAMP/CD68-like protein from <i>Caenorhabditis elegans</i> . J Cell Sci. 2000 Jul;113 (Pt 14):2595-606 |
| MM | D17 | Kumar <i>et al.</i> Antisense RNA: function and fate of duplex RNA in cells of higher eukaryotes. Microbiol Mol Biol Rev. 1998 Dec;62(4):1415-34 |
| MM | D18 | Lam <i>et al.</i> Inducible expression of double-stranded RNA directs specific genetic interference in <i>Drosophila</i> . Curr Biol. 2000 Aug 24;10(16):957-63 |
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| MM | E2 | Liu <i>et al.</i> Overlapping roles of two Hox genes and the exd ortholog ceh-20 in diversification of the C. elegans postembryonic mesoderm. Development. 2000 Dec;127(23):5179-90 |
| MM | E3 | Liu <i>et al.</i> Essential roles for Caenorhabditis elegans lamin gene in nuclear organization, cell cycle progression, and spatial organization of nuclear pore complexes. Mol Biol Cell. 2000 Nov;11(11):3937-47 |
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| MM | E5 | Maine. A conserved mechanism for post-transcriptional gene silencing? Genome Biol. 2000;1(3):REVIEWS1018 |
| MM | E6 | Maitra. Catalytic cleavage of an RNA target by 2-5A antisense and RNase L. J Biol Chem 1995 Jun 23;270(25):15071-5 |
| MM | E7 | Marx. Interfering with gene expression. Science. 2000 May 26;288(5470):1370-2 |
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| MM | E9 | Mello <i>et al.</i> Efficient gene transfer in C.elegans: extrachromosomal maintenance and integration of transforming sequences. EMBO J. 1991 Dec;10(12):3959-70 |
| MM | E10 | Mello <i>et al.</i> DNA transformation. Methods Cell Biol. 1995;48:451-82 |
| MM | E11 | Metzlaff <i>et al.</i> RNA-mediated RNA degradation and chalcone synthase A silencing in petunia. Cell. 1997 Mar 21;88(6):845-54 |
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| MM | E13 | Melendez <i>et al.</i> Caenorhabditis elegans lin-13, a member of the LIN-35 Rb class of genes involved in vulval development, encodes a protein with zinc fingers and an LXCXE motif. Genetics. 2000 Jul;155(3):1127-37 |
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| MM | E16 | Montgomery <i>et al.</i> RNA as a target of double-stranded RNA-mediated genetic interference in Caenorhabditis elegans. Proc Natl Acad Sci U S A. 1998 Dec 22;95(26):15502-7 |
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| MM | E18 | Nekhai. <i>et al.</i> Peptides Derived from the Interferon-Induced PKR Prevent Activation by HIV-1 TAR RNA. Virology 1996 222:193-200 |
| MM | E19 | Nellen <i>et al.</i> What makes an mRNA anti-sense-itive? Trends Biochem Sci. 1993 Nov;18(11):419-23 |
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| MM | F2 | Oelgeschlager <i>et al.</i> The evolutionarily conserved BMP-binding protein Twisted gastrulation promotes BMP signalling. Nature. 2000 Jun 15;405(6788):757-63 |
| MM | F3 | Paddison, P.J. <i>et al.</i> RNA interference: the new somatic cell genetics? Cancer Cell. 2002 Jul;2(1):17-23 |
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| MM | F6 | Pichler <i>et al.</i> OOC-3, a novel putative transmembrane protein required for establishment of cortical domains and spindle orientation in the P(1) blastomere of C. elegans embryos. Development. 2000 May;127(10):2063-73 |
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| MM | F9 | Pratt <i>et al.</i> Regulation of in vitro translation by double-stranded RNA in mammalian cell mRNA preparations. Nucleic Acids Res. 1988 Apr 25;16(8):3497-510 |
| MM | F10 | Proud. PKR: a new name and new roles. Trends Biochem Sci. 1995 Jun;20(6):241-6 |
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| — | F15 | Schem, M. et al. Gene silencing mediated by small interfering RNAs in mammalian cells. Curr Med Chem. 2003 Feb;10(3):245-56 |
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| MM | F18 | Sharp <i>et al.</i> Molecular biology. RNA interference. Science. 2000 Mar 31;287(5462):2431-3 |
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| MM | F20 | Shippy <i>et al.</i> Analysis of maxillopedia expression pattern and larval cuticular phenotype in wild-type and mutant tribolium. Genetics. 2000 Jun;155(2):721-31 |
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| MM | G2 | Stauber <i>et al.</i> Function of bicoid and hunchback homologs in the basal cyclorrhaphan fly <i>Megaselia</i> (Phoridae). Proc Natl Acad Sci U S A. 2000 Sep 26;97(20):10844-9 |
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| MM | G4 | Svoboda <i>et al.</i> Selective reduction of dormant maternal mRNAs in mouse oocytes by RNA interference. Development 2000 Oct;127(19):4147-56 |
| MM | G5 | Tabara <i>et al.</i> RNAi in <i>C. elegans</i> : soaking in the genome sequence. Science. 1998 Oct 16;282(5388):430-1 |
| MM | G6 | Tabara <i>et al.</i> The rde-1 gene, RNA interference, and transposon silencing in <i>C. elegans</i> . Cell. 1999 Oct 15;99(2):123-32 |
| MM | G7 | Tabara <i>et al.</i> pos-1 encodes a cytoplasmic zinc-finger protein essential for germline specification in <i>C. elegans</i> . Development. 1999 Jan;126(1):1-11 |
| MM | G8 | Tavernarakis <i>et al.</i> Heritable and inducible genetic interference by double-stranded RNA encoded by transgenes. Nat Genet. 2000 Feb;24(2):180-3 |
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| MM | G10 | Timmons <i>et al.</i> Specific interference by ingested dsRNA. Nature. 1998 Oct 29;395(6705):854 |
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| MM | G12 | Ui-Tei <i>et al.</i> Sensitive assay of RNA interference in <i>Drosophila</i> and Chinese hamster cultured cells using firefly luciferase gene as target. FEBS Lett. 2000 Aug 18;479(3):79-82 |
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